

Annexure I

Advisory – KYC Compliance

- All investors are requested to take note that 6 KYC attributes i.e. Name, PAN, Address, Mobile Number, Email id and Income Range have been made mandatory. Investors availing custodian services will be additionally required to update the custodian details.
- The last date to update KYC is on or before March 31, 2022.
- Thereafter non-compliant trading accounts will be blocked for trading by the Exchange.
- The non-compliant demat accounts will be frozen for debits by Depository Participant or Depository.
- On submission of the necessary information to the stockbroker and updation of the same by the stockbroker in the Exchange systems and approval by the Exchange, the blocked trading accounts shall be unblocked by the Exchange on T+1 trading day.
- The demat account shall be unfreezed once the investor submits the deficient KYC details and the same is captured by the depository participant in the depository system.
- To ensure smooth settlement of trades, the investors are requested to ensure that both the trading and demat accounts are compliant with respect to the KYC requirement.
- The investors are hereby requested to comply with the regulatory guidelines issued by Exchanges and Depositories from time to time with regard to KYC compliance and related requirements.



Central Depository Services (India) Limited

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COMMUNIQUÉ TO DEPOSITORY PARTICIPANTS

CDSL/OPS/DP/POLCY/2022/28

January 14, 2022

MANDATORY UPDATION OF CERTAIN ATTRIBUTES PERTAINING TO KYC OF CLIENTS

DPs are advised to refer to Communique no. CDSL/OPS/DP/POLCY/2021/152 dated April 05, 2021, regarding mandatory updation of 6 KYC attributes for new and existing accounts and subsequent communiques regarding extension in timelines. Vide our communique no. CDSL/OPS/DP/POLCY/2021/605 dated December 31, 2021, we had informed regarding the final opportunity to update the 6 KYC attributes in existing accounts till March 31, 2022.

Depository Participants are requested to display the advisory as provided under Annexure I on their respective websites to create awareness about the same.

The advisory shall also be displayed on the Depository website under the following path:
<https://www.cdslindia.com/Investors/open-demat.html#section3>.

It may be noted that the demat account holders may be advised to update their accounts to make it 6 KYC attribute compliant latest by March 31, 2022, failing which the demat accounts will be frozen for debits.

The DPs are advised to take note of the same and ensure full compliance.

Queries regarding this communiqué may be addressed to **CDSL – Helpdesk**: on telephone numbers (022) 2305-8624, 2305-8639, 2305-8642, 2305-8663, 2305-8640, 2300-2041 or 2300-2033. Emails may be sent to: helpdesk@cdslindia.com.

sd/-

Nilesh Shah
Asst. Vice President – Operations

National Stock Exchange Of India Limited**Department : INVESTOR SERVICES CELL**

Download Ref No: NSE/ISC/51035

Date: January 14, 2022

Circular Ref. No: 01/2022

To All Members,

Updation of mandatory KYC fields by March 31, 2022

This is with reference to our circular No. NSE/ISC/50864 dated December 31, 2021, wherein it was informed that the final opportunity was provided to update the 6 KYC attributes Name, Complete address (including PIN code No. in case of address of India), PAN, valid Mobile number, Valid email-id, Income details/range. Further, it was also mentioned in the said circular that Investors availing custodian services will be additionally required to update the custodian details. The last date to update above referred KYC attributes for existing clients is on or before March 31, 2022.

In this regard, Trading Members are requested to display the advisory as provided under Annexure I on their respective websites to create awareness about the same.

Further, the advisory has been displayed on the Exchange website under the following path: <https://www.nseindia.com/invest/advisory-kyc-compliance>

It may be noted that non-compliant accounts shall be liable for action after March 31, 2022. The non-compliant client trading accounts shall be blocked from trading by the Exchange w.e.f. April 01, 2022. To ensure smooth settlements, trading members are requested to ensure that the demat accounts mapped with trading accounts are also compliant with the above requirements.

Trading Members are advised to take note and comply with the above guidelines.

**For and on behalf of
National Stock Exchange of India Limited**

**Dinaz Shroff
Chief Manager**

Toll Free No	Email id
1800 266 0050 (select option 5)	uci@nse.co.in

NOTICES

Notice No.	20220114-8	Notice Date	14 Jan 2022
Category	Compliance	Segment	General
Subject	Updation of mandatory KYC fields by March 31, 2022		
Attachments	Annexure I Advisory for KYC updation.pdf		

Content

This is with reference to our Notice No. 20211231-45 dated December 31, 2021, wherein it was informed that the final opportunity was provided to update the 6 KYC attributes Name, Complete address (including PIN code No. in case of address of India), PAN, valid Mobile number, Valid email-id, Income details/range. Further, it was also mentioned in the said circular that Investors availing custodian services will be additionally required to update the custodian details. The last date to update KYC is on or before March 31, 2022.

In this regard, Trading Members are requested to display the advisory as provided under Annexure I on their respective websites to create awareness about the same.

Further, the advisory shall also be displayed on the Exchange website under the following path:

https://www.bseindia.com/downloads1/Advisory_KYC_updation.pdf

It may be noted that non-compliant accounts shall be liable for action after March 31, 2022. The non-compliant client trading accounts shall be blocked from trading by the Exchange w.e.f. April 01, 2022. To ensure smooth settlements, trading members are requested to ensure that the demat accounts mapped with trading accounts are also compliant with the above requirements.

Trading Members are advised to take note and comply with the above guidelines.

In case of any queries, you may please contact at **022-22728435 / 22725785**.

For and on behalf of BSE Ltd.

Hiteshkumar Desai
Additional General Manager
Broker Supervision

Jyoti Thukral
Sr. Manager
Broker Supervision